



UN PRI and CRISA 2 Commitment Statement

1. UN Principles for Responsible Investment (UN PRI)

Balondolozzi Investment Services formally adopted the Principles for Responsible Investment (PRI), demonstrating commitment towards sustainable investing.

The UN PRI publishes an annual Transparency Report based on signatories' progress in implementing the principles, derived from annual submissions through the PRI Reporting and Assessment tool. This assists the company to work on improving its philosophy, research and engagement initiatives. As a PRI signatory, Balondolozzi commits to the following principles in line with its fiduciary responsibilities, acknowledging that ESG issues can influence investment portfolio performance across various dimensions:

- Principle 1: Incorporate ESG factors into investment analysis and decision-making processes.
- Principle 2: Act as active owners by integrating ESG issues into ownership policies and practices.
- Principle 3: Seek appropriate disclosure on ESG matters from entities in which investments are made.
- Principle 4: Promote the adoption and implementation of the principles within the broader investment industry.
- Principle 5: Collaborate with other investors to enhance the effectiveness of PRI implementation.
- Principle 6: Report on activities and progress related to the implementation of the principles.

Balondolozzi commits to adopting, implementing, and evaluating their effectiveness, ensuring long-term value creation for beneficiaries while contributing to societal objectives.

2. Code for Responsible Investing in South Africa (CRISA 2)

The Code for Responsible Investing in South Africa (CRISA) serves as a framework for institutional investors like Balondolozzi Investment Services, guiding the execution of investment analysis and activities while promoting sound governance practices. CRISA outlines five key principles that emphasise responsible investing:

- Institutional investors should integrate sustainability considerations, encompassing environmental, social and governance (ESG) factors, into their investment analysis and activities to deliver superior risk-adjusted returns to beneficiaries.
- Investors must accept ownership responsibilities in their investment arrangements and practices, demonstrating accountability for their actions.
- Where feasible, institutional investors should adopt a collaborative approach to promote the acceptance and implementation of CRISA principles, as well as other relevant codes and standards within the investment community.
- Investors should recognize situations and relationships that may lead to conflicts of interest and proactively manage these risks when they arise.
- Institutional investors must maintain transparency regarding the content of their policies, the implementation processes, and



how CRISA principles are applied, enabling stakeholders to make informed assessments.

By adhering to CRISA, Balondolozzi commits to responsible investment practices that align with the long-term interests of its beneficiaries while fostering sound governance and sustainability within the investment landscape.

The firm's comprehensive approach to ESG integration, coupled with active ownership strategies, underscores its dedication to responsible investing. This dual focus seeks to achieve sustainable financial returns while

addressing critical environmental, social, and governance challenges.

3. UN PRI Summary Scorecard (2023, 2024 & 2025)

The UN PRI Summary Scorecard reflects Balondolozzi's year-on-year progress across key investment and stewardship modules. The results illustrate consistent improvement in governance, confidence-building measures and ESG integration across listed equity and fixed-income strategies from 2023 to 2025. This upward trend demonstrates the firm's commitment to strengthening responsible investment practices and aligning with global best-practices.

4. Balondolozzi's UN PRI Scorecard Performance

Balondolozzi's UN PRI Scorecard reflects a notable improvement across various modules and key areas of responsible investing within the company from 2023 to 2025.

