



**DISCLOSURE DOCUMENT IN TERMS OF THE FINANCIAL ADVISORY
AND INTERMEDIARY SERVICES ACT, 37 OF 2002**

BALONDOLOZI INVESTMENT SERVICES (PTY) LTD

FSP NUMBER 42188

Balondoloji Investment Services (Pty) Ltd

1st Floor Building 1, Glenhove Square, 71 4th Street, Houghton Estate, 2198
Tel: 011 484 9023 | 011 484 0113 | 011 484 7006 | www.balondoloji.co.za

Registration No. 2010/007109/07 FSP No. 42188

Directors: Masibulele P Dem (Board Chair), Yolande A Mokhantso (CEO), Pedro M Samuel (COO), Fannuel Tigere (CIO), Zanele Mdlekeza (Audit Committee Chair), Zandie Mlambo (Risk & Compliance Committee Chair), Nobuhle Mhlanga, Zintle Mjali, Khetsiwe Samuel

1. INTRODUCTION

In terms of the General Code of Conduct for Authorised Financial Services Providers and Representatives, all financial service providers are required to disclose certain information. Read through this document carefully. Should anything in this document be unclear, please request further information.

The business particulars of the FSP are listed below:

REGISTRATION NUMBER:	2010/007109/07
FSP NUMBER:	42188
PHYSICAL ADDRESS:	1ST FLOOR BUILDING 1, GLENHOVE SQUARE, 71 4TH STREET, HOUGHTON ESTATE, 2198
POSTAL ADDRESS:	1ST FLOOR BUILDING 1, GLENHOVE SQUARE, 71 4TH STREET, HOUGHTON ESTATE, 2198
CONTACT PERSON:	YOLANDE MOKHANTSO
TELEPHONE NUMBER:	011 484 9023
E-MAIL:	YOLANDE@BALONDOLOZI.CO. ZA
WEBSITE:	THEPPUNCH.CO.ZA/BALONDOLOZI

2. AUTHORISED FINANCIAL SERVICES PROVIDER

Balondolozzi Investment Services (Pty) Ltd ("the FSP") is a licensed Category I and Category II Financial Services Provider in terms of section 8 of the Financial Advisory and Intermediary Services Act 37, 2002 (license number 42188). Accordingly, the FSP is authorised to provide advisory and/or render discretionary intermediary services relating to the following financial products

Category Description	Advice Non-Automated	Intermediary Other
Category I		
1.4 Long-Term Insurance Sub-Category C	X	X
1.5 Retail Pension Benefits	X	X
1.7 Pension Funds Benefits	X	X
1.8 Shares	X	X
1.9 Money Market Instruments	X	X
1.10 Debentures & Securitized Debt	X	X
1.11 Warrants, Certificates & Other Instruments	X	X
1.12 Bonds	X	X
1.13 Derivative Instruments	X	X

1.14 Participatory Interests In A Collective Investment Scheme	X	X
1.17 Long-Term Deposits	X	X
1.18 Short-Term Deposits	X	X

Category II - Discretionary FSP		
2.2 Long-Term Insurance Subcategory C		X
2.3 Retail Pension Benefits		X
2.4 Pension Funds Benefits		X
2.5 Shares		X
2.6 Money Market Instruments		X
2.7 Debentures & Securitised Debt		X
2.8 Warrants, Certificates & Other Instruments		X
2.9 Bonds		X
2.10 Derivative Instruments		X

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2.11 Participatory Interests In One Or More Collective Investment Schemes	X
2.13 Long-Term Deposits	
2.14 Short-Term Deposits	X

3. AUTHORISED KEY INDIVIDUALS AND APPOINTED REPRESENTATIVES

The FSCA has duly Authorised the following key individuals to manage and oversee the following classes of business on behalf of the FSP:

Name	Status
Pedro Samuel	Key Individual & Representative
Fannuel Tigere	Key Individual & Representative
Sandeele van Wyk	Representative
Sindisiwe Mahlangu	Representative
Karabo Matsepe	Representative
Alecia Sekwati	Representative Under supervision
Papadi Thulo	Representative Under supervision
Mpilonhle Benya	Representative Under supervision
Magcino Mshengu	Representative Under supervision

As at date of appointment, the above individuals all meet the necessary personal character qualities of honesty and integrity as well as the competency requirements relating to experience and minimum qualifications. They receive remuneration in the form of a monthly salary. None of them earn any commission on any products or services sold. The FSP accepts responsibility for the activities of these employees performed within the scope of their employment contracts.

The FSP accepts responsibility for the actions of representatives being performed within the scope

and ambit of their appointment.

4. DETAILS OF PRODUCT SUPPLIER(S)

The FSP offers financial products from a limited number of product suppliers (Please See Annexure A)

5. CONFLICTS OF INTEREST MANAGEMENT POLICY

The FSP has implemented a Conflicts of Interest Management Policy in accordance with the General Code of Conduct issued in terms of the Financial Advisory and Intermediary Services Act 37, 2002. All employees have signed and acknowledged their acceptance of this Policy. A copy is available on written request to the Compliance Officer.

The FSP does not have any Soft Commission Agreements in place and the company's only form of income is fee income in terms of the client's mandate.

6. PROFESSIONAL INDEMNITY COVER

The FSP is covered by a comprehensive Financial Institutions, Directors & Officers Liability Insurance Programme with ITOO. The cover includes Fidelity cover and Professional Indemnity cover.

7. CONFIDENTIALITY & DATA PRIVACY

Information disclosed to the FSP, or any of its authorized representatives in their professional capacity will be treated as confidential unless written consent is obtained to disclose such information or the disclosure of such information is required in the public interest or under a particular law.

The FSP shall take all reasonable measures to protect your personal information and for the purpose of this disclaimer "personal information" shall be defined as detailed in the Promotion of Access to Information Act, Act 2 of 2000 ("PAIA") and the Protection of Personal Information Act, Act 4 of 2013 ("POPIA").

If you have any questions or wish to complain about the processing of your personal information, or if you wish to exercise any of your rights as a data subject,



you can contact The FSP’s Information Officer. The Information Officer’s contact details are as follows:

• CONTACT PERSON:	• Nobuhle Mhlanga
• E-MAIL:	• nobuhle@balondolozzi.co.za

In accordance with Section 51 of the Promotion of Access to Information Act No.2 of 2000 and the Protection of Personal Information Act No. 4 of 2013, the FSP has compiled a PAIA Manual and Data Privacy Policy & Statement. The Privacy Policy is available for download on the FSP’s website: thepunch.co.za/balondolozzi

8. COMPLIANCE OFFICER

EXTERNAL COMPLIANCE PROVIDER	eComply Consultants (Pty) Ltd
PRACTICE NUMBER:	744
PHYSICAL ADDRESS:	Office: 2nd Floor · Colinton House · 1 Oakdale Road · Newlands · 7700 · South Africa
CONTACT PERSON:	Nick Howse
E-MAIL:	info@ecomply.co.za
WEBSITE:	www.ecomply.co.za

9. COMPLAINTS

Should you wish to pursue a complaint against a key individual or any representative of the FSP, the written complaint should be addressed to the following person and contain sufficient detail in respect of the complaint:

CONTACT PERSON:	Nobuhle Mhlanga
E-MAIL:	nobuhle@balondolozzi.co.za

If the complaint cannot be settled satisfactorily with the FSP, the complaint may then be referred to the office of the FAIS Ombud.

The Ombud was created to provide members of the public with a further redress mechanism.

TELEPHONE NUMBER:	012 762 5000
E-MAIL:	INFO@FAISOMBUD.CO.ZA
WEBSITE:	WWW.FAISOMBUD.CO.ZA

10. DISCLAIMER

All investors must take cognisance of the fact that there are always risks involved when buying, selling or investing in any financial product. The value of investments can increase as well as decrease over time, depending on the value of the underlying securities and the prevailing market conditions.

There are certain risks associated with investments in financial products, including market, credit & currency risks. Past performance is not necessarily an indication of future performance. All returns are random returns, unless otherwise stated.

You are entitled to a copy of this document for your records. A copy of the FSP license certificate is available upon request

Prior to selecting any financial product or fund, investors should seek specialised financial, legal and or tax advice which is relevant to their own individual circumstances before making any investment decision.



Annexure A

Product Suppliers

Boutique Collective Investments (RF) (Pty) Ltd

- Balondolozzi BCI Absolute Return Fund
- Balondolozzi BCI Global Equity Fund
- Balondolozzi BCI Global Bond Fund
- Balondolozzi BCI Income Fund

Registration number: 2003/024082/07

Address:

Catnia Building, Bella Rosa Village Bella Rosa Street, Bellville, 7530

Contact: clientservices@bcis.co.za / +27 (0)21 914 1880

27Four Collective Investments (RF) (Pty) Ltd

- Balondolozzi Bond 27Four Fund

Registration number: 2015/291620/07

Address:

5 Cavendish Street, Claremont, Western Cape 7708

Contact: sihle@27four.com / +27 (0)21 671 2173

27Four Life Limited

- 27Four Balondolozzi BIL
- 27Four Balondolozzi Money Market Portfolio
- 27Four Life Balondolozzi ALBI

Registration number: 2004/014436/06

Address:

5 Cavendish Street Claremont, Western Cape 7708

Contact: life@27four.com / +27 (0)21 671 2173

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