

# UNIT TRUST

## Security Cession Notice

### Important information

This application serves as a request 27four Collective Investments (“27four”) to note a cession on this investment. This notice only applies to a security cession. Where the Cedent is a natural person, the Cedent may not be:

- Insolvent
- Sequestered, or in the process of being sequestered
- Prohibited from ceding this investment in any way

Where the Cedent is a legal entity, the Cedent may not be:

- Under, or in the process of being under, judicial administration
- Liquidated, or in the process of being liquidated

We will only process your instruction once we receive all the required documents. Instructions received before 13:00 (SA time) on a business day will be processed on the same day. Any instruction received after 13:00 on a business day will be processed on the next business day. Instructions in respect of a money market portfolio must be received by 11:00.

### Complete the form and submit documents

Complete all relevant sections of this form and submit it, together with the documents listed below, to [27fouradmin@prescient.co.za](mailto:27fouradmin@prescient.co.za).

- Completed “Security cession notice” form
- Resolution of trustees/directors appointing authorised signatories, if the cedent is a company/trust
- Copy of South African bar-coded ID or valid passport (if foreign national), if the cessionary is an individual
- Resolution of trustees/directors appointing authorised signatories, if the cessionary is a company/trust
- List of authorised signatories, if the cessionary is a bank
- Completed “Acting on behalf of investor” form, if a representative is acting on behalf of the investor

### Provide details of the cedent

Client Number

Full Name /  
Name of Entity

ID or Passport  
number (if  
foreign national)

Name of Financial  
Advisor (if  
applicable)

Marital Status  Single  Married  Divorced

Married in  
Community of  
Property  Yes  No If “Yes”, we require your spouse’s signature at the bottom of this form.

|               |                      |               |                      |
|---------------|----------------------|---------------|----------------------|
| Telephone (H) | <input type="text"/> | Cell          | <input type="text"/> |
| Telephone (W) | <input type="text"/> | Email address | <input type="text"/> |

Please complete the details of the unit trust(s) for which a cession will be noted against:  
*Please note: The cession of the unit trust(s) can be a partial cession or in its entirety.*

| Unit Trust(s)        | Number of Units      |
|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> |

**Person acting on behalf of the cedent**

|                          |                      |               |                      |
|--------------------------|----------------------|---------------|----------------------|
| Title                    | <input type="text"/> |               |                      |
| Full name/name of entity | <input type="text"/> |               |                      |
| Date of birth            | <input type="text"/> | Relationship  | <input type="text"/> |
| ID number                | <input type="text"/> |               |                      |
| Residential Address      | <input type="text"/> |               |                      |
|                          | <input type="text"/> |               |                      |
|                          | <input type="text"/> |               |                      |
| Telephone (H)            | <input type="text"/> | Telephone (W) | <input type="text"/> |
| Cell                     | <input type="text"/> | Email address | <input type="text"/> |

**Provide details of the cessionary**

|   |                      |
|---|----------------------|
| Full Name of Cessionary / Registered Name of Entity | <input type="text"/> |
| Contact person                                      | <input type="text"/> |

**Street address**

C / O

Unit

Complex

Street number

Street

Suburb

City

Postal code

Telephone (H)

Telephone (W)

**Postal address**

Same as street address YES  NO

C / O

Line 1

Line 2

Line 3

Line 4

Postal code

Fax

Cell

Email address

**Provide bank details of the cessionary**

Account holder

Account number

Name of branch

Bank

Type of

Branch code

**Authorisation and declaration**

- I confirm that all information provided on this form is correct.
- I have not received advice from the Administrator regarding this instruction.
- I confirm that my appointed financial advisor may have access to my investment details via the secure section of the Administrator's website or via a secure electronic channel at my financial advisor's request.
- I have read, understood and agree to the latest **Terms and Conditions** which I understand may have changed since my original investment and the Cession **Terms and Conditions** as noted in this application form.

**Cession terms and conditions**

- 27four will only note this cession once the cedent and the cessionary have completed and signed this form, returned it to [27fouradmin@prescient.co.za](mailto:27fouradmin@prescient.co.za) and complied with the **Terms and Conditions** stipulated in this form.
- 27four does not take any responsibility for and is indemnified against, any loss or damages suffered by any party, due to any breach of the **Terms and Conditions** and/or 27four acting in accordance with this notice.
- 27four may provide any information about the ceded portion of the investment to the cessionary.
- 27four is not a party to the cession agreement between the cedent and the cessionary and does not ask for or keep copies of the cession contract between the cedent and the cessionary.

- 27four will not interpret the agreement between the cedent and the cessionary and bears no responsibility for the validity, enforceability or any other matter arising from the cession.
- 27four notes a security cession by restricting the cedent from transacting on the units indicated on this form.
- If 27four receives a request to note a cession for a rand amount, the rand amount will be converted to the equivalent number of units at that time.
- After 27four notes the cession, the units will be priced at the latest available price. The **Terms and Conditions** applicable to the investment continue to apply.
- Should the market value of the ceded units, as per the original cession agreement between the cedent and cessionary, fall below the cessionary's required value, it is the cedent's responsibility to ensure that:
  - additional units are placed with 27four and
  - a cession is noted over these units
- 27four will not be responsible to any party for any shortfalls in the market value of the ceded units. 27four does not guarantee investment returns.
- The value of units may fluctuate due to market conditions. Past performance is not necessarily a guide to future performance. Where applicable, financial adviser fees will continue to be deducted from the investment, unless otherwise instructed by the cedent. This may reduce the number of units ceded and, as a result, the total value of the cession.
- As long as the cession remains in force, the cedent may not withdraw, transfer or switch the ceded units without written consent from the cessionary.
- If the cessionary agrees to a transaction, the cedent and cessionary will need to complete the documents required by 27four for the transaction to be processed.
- Should the cessionary request that the ceded units be withdrawn, 27four is obliged to sell the ceded units and pay the cessionary the amount required under this cession.
- 27four is not required to notify the cedent or obtain the cedent's consent beforehand. Any payments made by 27four will be subject to 27four's internal requirements and procedures.
- Transactions on ceded units will take longer than the standard turnaround times specified in the **Terms and Conditions** applicable to the investment.
- 27four requires written consent from the cessionary to remove the note of cession from the investment.

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**Signature of cedent**

Full name

Signed at

Date

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**Signature of cessionary**

Full name

Signed at

Date

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**Signature of spouse**

Full name

Signed at

Date