



BALONDOLOZI INVESTMENT SERVICES (PTY) LTD

**DISCLOSURE DOCUMENT IN TERMS OF THE FINANCIAL
ADVISORY AND INTERMEDIARY SERVICES ACT, 37 OF 2002**

FSP NUMBER 42188

1. AUTHORISED FINANCIAL SERVICES PROVIDER

Balondolozzi Investment Services (Pty) Ltd ("the FSP") is an authorised financial services provider in terms the Financial Advisory and Intermediary Services Act ("FAIS") and is regulated by the Financial Sector Conduct Authority ("the FSCA").

A copy of the FSP license certificate is displayed in a prominent manner in the business premises of the FSP and can be made available upon request.

The business particulars of the FSP is listed below:

REGISTRATION NUMBER:	2010/007109/07
FSP NUMBER:	42188
PHYSICAL ADDRESS:	1ST FLOOR BUILDING 1, GLENHOVE SQUARE, 71 4TH STREET, HOUGHTON ESTATE, 2198
POSTAL ADDRESS:	P.O. BOX 542, MELROSE ARCH, MELROSE, 2076
CONTACT PERSON:	PEDRO SAMUEL
TELEPHONE NUMBER:	011 484 9023
E-MAIL:	PEDRO@BALONDOLOZI.CO.ZA
WEBSITE:	WWW.BALONDOLOZI.CO.ZA

2. FINANCIAL SERVICES AND PRODUCTS

The FSCA has duly authorised the FSP to render advisory, intermediary and discretionary financial services in respect of the following categories and sub-categories of financial products:

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER
CATEGORY I		
1.4 LONG-TERM INSURANCE SUBCATEGORY C	X	X
1.5 RETAIL PENSION BENEFITS	X	X
1.7 PENSION FUNDS BENEFITS	X	X
1.8 SHARES	X	X
1.9 MONEY MARKET INSTRUMENTS	X	X
1.10 DEBENTURES AND SECURITISED DEBT	X	X
1.11 WARRANTS, CERTIFICATES AND OTHER INSTRUMENTS	X	X
1.12 BONDS	X	X
1.13 DERIVATIVE INSTRUMENTS	X	X
1.14 PARTICIPATORY INTERESTS IN A COLLECTIVE INVESTMENT SCHEME	X	X
1.17 LONG-TERM DEPOSITS	X	X
1.18 SHORT-TERM DEPOSITS	X	X
CATEGORY II - DISCRETIONARY FSP		
2.2 LONG-TERM INSURANCE SUBCATEGORY C		X
2.3 RETAIL PENSION BENEFITS		X
2.4 PENSION FUNDS BENEFITS		X
2.5 SHARES		X
2.6 MONEY MARKET INSTRUMENTS		X
2.7 DEBENTURES AND SECURITISED DEBT		X
2.8 WARRANTS, CERTIFICATES AND OTHER INSTRUMENTS		X
2.9 BONDS		X
2.10 DERIVATIVE INSTRUMENTS		X
2.11 PARTICIPATORY INTERESTS IN ONE OR MORE COLLECTIVE INVESTMENT SCHEMES		X
2.13 LONG-TERM DEPOSITS		X
2.14 SHORT-TERM DEPOSITS		X

3. AUTHORISED KEY INDIVIDUALS AND APPOINTED REPRESENTATIVES

The FSCA has duly authorised the following key individuals to manage and oversee the following classes of business on behalf of the FSP:

FULL NAME	CATEGORY OF LICENCE	CLASS OF BUSINESS
PEDRO SAMUEL	I	LONG-TERM INSURANCE
		INVESTMENTS
		SHORT-TERM AND LONG-TERM DEPOSITS
	II	LONG-TERM INSURANCE
		PENSION FUND BENEFITS
INVESTMENTS		

FULL NAME	CATEGORY OF LICENCE	CLASS OF BUSINESS
FANNUEL TIGERE	I	LONG-TERM INSURANCE
		PENSION FUND BENEFITS
		INVESTMENTS
		SHORT-TERM AND LONG-TERM DEPOSITS
	II	LONG-TERM INSURANCE
		PENSION FUND BENEFITS
		INVESTMENTS
		SHORT-TERM AND LONG-TERM DEPOSITS

The FSP has duly appointed the representatives listed below to render financial services on behalf of the FSP:

1. Christopher Pratt
2. Fannuel Tigere
3. Itumeleng Mojaki
4. Magdelene Baloyi
5. Pedro Samuel
6. Sandelee van Wyk

The FSP accepts responsibility for the actions of representatives being performed within the scope and ambit of their appointment in relation to the following categories and sub-categories of financial products:

CATEGORY DESCRIPTION	ADVICE NON-AUTOMATED	INTERMEDIARY OTHER
CATEGORY I		
1.4 LONG-TERM INSURANCE SUBCATEGORY C	X	X
1.5 RETAIL PENSION BENEFITS	X	X
1.7 PENSION FUNDS BENEFITS	X	X
1.8 SHARES	X	X

1.9 MONEY MARKET INSTRUMENTS	X	X
1.10 DEBENTURES AND SECURITISED DEBT	X	X
1.11 WARRANTS, CERTIFICATES AND OTHER INSTRUMENTS	X	X
1.12 BONDS	X	X
1.13 DERIVATIVE INSTRUMENTS	X	X
1.14 PARTICIPATORY INTERESTS IN A COLLECTIVE INVESTMENT SCHEME	X	X
1.17 LONG-TERM DEPOSITS	X	X
1.18 SHORT-TERM DEPOSITS	X	X
CATEGORY II - DISCRETIONARY FSP		
2.2 LONG-TERM INSURANCE SUBCATEGORY C		X
2.3 RETAIL PENSION BENEFITS		X
2.4 PENSION FUNDS BENEFITS		X
2.5 SHARES		X
2.6 MONEY MARKET INSTRUMENTS		X
2.7 DEBENTURES AND SECURITISED DEBT		X
2.8 WARRANTS, CERTIFICATES AND OTHER INSTRUMENTS		X
2.9 BONDS		X
2.10 DERIVATIVE INSTRUMENTS		X
2.11 PARTICIPATORY INTERESTS IN ONE OR MORE COLLECTIVE INVESTMENT SCHEMES		X
2.13 LONG-TERM DEPOSITS		X
2.14 SHORT-TERM DEPOSITS		X

4. DETAILS OF PRODUCT SUPPLIER(S)

The FSP does not make use of any product supplier(s), neither does it endorse nor market the products of any product supplier(s).

5. CONFLICT OF INTEREST MANAGEMENT POLICY

The FSP has adopted and implemented a Conflict of Interest Management Policy. The Conflict of Interest Management Policy can be viewed on the FSP website or obtained be requested from Nobuhle Mhlanga by emailing the request to nobuhle@balondolozzi.co.za.

6. PROFESSIONAL INDEMNITY COVER

The FSP maintains in force Professional Indemnity cover.

7. COMPLIANCE OFFICER

EXTERNAL COMPLIANCE PROVIDER	INDEPENDENT COMPLIANCE SERVICES (PTY) LTD
PRACTICE NUMBER:	1258
PHYSICAL ADDRESS:	OFFICE 9, HERITAGE SQUARE, CNR GLADSTONE & VREDE STREETS, DURBANVILLE, 7551.
CONTACT PERSON:	CHRISTELLE DE JAGER
TELEPHONE NUMBER:	021 975 6597
E-MAIL:	CHRISTELLE@COMPLIANCESERVICES.CO.ZA
WEBSITE:	WWW.COMPLIANCESERVICES.CO.ZA

8. COMPLAINTS

Should you wish to pursue a complaint against the key individual or any representative of the FSP, the written complaint should be addressed to the following person and contain sufficient detail in respect of the complaint:

CONTACT PERSON:	NOBUHLE MHLANGA
E-MAIL:	NOBUHLE@BALONDOLOZI.CO.ZA

If the complaint cannot be settled satisfactorily with the FSP, the complaint may then be referred to the office of the FAIS Ombud.

The Ombud was created to provide members of the public with a further redress mechanism.

TELEPHONE NUMBER:	086 066 3247
E-MAIL:	INFO@FAISOMBUD.CO.ZA
WEBSITE:	WWW.FAISOMBUD.CO.ZA

9. FINANCIAL INTELLIGENCE CENTRE ACT ("FICA")

In terms of FICA the FSP is registered as an accountable institution.

The FSP follows a risk-based approach to money laundering and related activities. By following this approach the FSP is required to identify all prospective clients and verify their given information, as well as keep records in respect thereof.

Application of a risk-based approach implies that the FSP can accurately assess the risks involved with a business relationship and to apply the appropriate methods and levels of client verification.

The FSP must and will report any suspicious and unusual transactions that may facilitate money laundering to the relevant authorities.

10. DISCLAIMER

All investors must take cognisance of the fact that there are always risks involved when buying, selling or investing in any financial product. The value of investments can increase as well as decrease over time, depending on the value of the underlying securities and the prevailing market conditions.

Prior to selecting any financial product or fund, investors should seek specialised financial, legal and or tax advice which is relevant to their own individual circumstances before making any investment decision.